

Application Procedures and Guidelines for SBIR/STTR Phase I Matching Program

Indiana Economic Development Corporation - IEDC Indiana 21st Century Research and Technology Fund/SBIR Initiative Program Office

The Indiana Economic Development Corporation (IEDC), recognizing the unique challenges inherent in the technology innovation process, particularly for emerging companies, has created a program to match Indiana Phase I grants from the Small Business Innovation Research (SBIR)/Small Business Technology Transfer (STTR) programs. These federal programs, managed by the Small Business Administration in the context of federal agencies and departments, provide competitively reviewed support for both proof-of-principle research and development (Phase I) and early stage product development (Phase II). The dual purpose of this matching grant program administered by the IEDC SBIR Program Office and 21st Century Research and Technology Fund is to both increase the numbers and competitiveness of Indiana SBIR/STTR proposals, and to increase the success of Indiana companies in moving from Phase I to Phase II.

Program outline:

The 21st Century Research and Technology Fund will award funds to Indiana entities matching Phase I SBIR and/or STTR awards up to a maximum of \$100,000. This program is contingent on the availability of funds. Matching funds from the 21st Century Fund will be provided as grants. ***As of March 1 2009, we will limit each Small Business Concern to four (4) Phase I Matching Grant(s) per year. If in an event there is a request beyond (4), then it will be reviewed on a case by case basis.***

- Application packet **MUST** contain the following and **MUST** be submitted within **90 DAYS** of Phase I Award
- Applicant is a for-profit Small Business with its principal place of business in **Indiana**.
- Applicant has not received concurrent funding support from other sources that duplicates the purpose of the matching award.

Each Applicant must submit the following documents and information:

- 1.) Request Cover Sheet (format provided below)
- 2.) A copy of the contract/grant from the awarding SBIR/STTR federal agency
- 3.) A copy of the FULL proposal upon which the Phase I award is based, this includes, but not limited to: Submission Cover Sheet, Abstract, and Budget Pages.
- 4.) A brief description of the work plan and budget describing uses for 21st Century matching funds (no more than two pages)¹

Reporting requirements:

Recipients of matching awards will be required to provide to SBIR Program Office staff the reports delivered to the federal agency for the Phase I work along with additional information describing results of work funded with the matching grant.

¹ Among uses for 21st Century Fund matching funds are: continued project funding during agency consideration of a Phase II proposal, increasing the intensity of Phase I research through increased staffing or subcontracts, acquisition of supplies or equipment, and protection of intellectual property.

Contact:

If you have questions, please contact the SBIR at:

Please send your electronic request to: sbir@iedc.in.gov

Please send your hardcopies to: IEDC
SBIR Program
One North Capitol Ave., Suite 700
Indianapolis, IN 46204

Request Cover Sheet Format

Request for SBIR/STTR Phase I Matching Funds 21st Century Fund/SBIR Program Office

Date:

Company Name:

Federal ID Number (Tax ID Number):

Address:

Phone:

Fax:

E-mail:

President:

Principal Investigator:

Involved Partner Institutions:

SBIR/STTR Proposal Title:

Funding Agency:

Award Number:

Start Date:

Phase I Total Award:

Federal Funding Agency Reporting Requirements: (Description and due dates of each)

Summary Abstract describing the Phase I project:

Work Plan/Timeline:

Summary Budget:

² Do not include proprietary information in this abstract, since it will be placed in public 21st Century Fund documents.